STATE OF CONNECTICUT DEPARTMENT OF PUBLIC HEALTH BUREAU OF REGULATORY SERVICES

In re: Mark Richard, S.S.I.

Petition No. 970506-033-008

CONSENT ORDER

WHEREAS, Mark Richard, of Cheshire, Connecticut (hereinafter "respondent") has been issued license number 003600 to practice as a subsurface sewage disposal system installer by the Department of Public Health (hereinafter "the Department") pursuant to Chapter 393a of the General Statutes of Connecticut, as amended; and,

WHEREAS, the Department alleges that:

- Respondent incompetently and/or negligently installed a new subsurface sewage system at 51 Post Falls Lane, Hamden, Connecticut (hereinafter "the system"), between approximately December 1994 through February 1995 as follows:
 - (a) during installation he utilized unacceptable fill material, which did not meet specifications listed on the plans. Additionally, respondent allowed overly compacted soils underneath and downgrade of the leaching system to remain, causing the system to fail approximately nine months later; and/or,
 - (b) he has failed to timely repair the failed system.
- 2. The above described facts constitute grounds for disciplinary action pursuant to the General Statutes of Connecticut, §20-341f(d)(2) and/or (3), taken in conjunction with Connecticut Public Health Code Regulations §19-13-B103e(a)(3), (4) and §19-13-B103e(g)(1).

WHEREAS, respondent, in consideration of this Consent Order, has chosen not to contest the above allegations of wrongdoing but, while admitting no guilt or wrongdoing, agrees that for purposes of this or any future proceedings before the Department, this Consent Order shall have the same effect as if proven and ordered after a full hearing held pursuant to §§19a-10, 19a-14 and 20-341f(d)(2) and/or (3) of the General Statutes of Connecticut.

NOW THEREFORE, pursuant to §§19a-14, 19a-17 and 20-341f(d)(2) and/or (3) of the General Statutes of Connecticut, respondent hereby stipulates and agrees to the following:

- 1. Respondent waives his right to a hearing on the ments of this matter.
- 2. Respondent shall pay a civil penalty of five hundred dollars (\$500.00) by certified or cashier's check payable to "Treasurer, State of Connecticut." The check shall reference the Petition Number on the face of the check, and shall be payable at the time respondent submits the executed Consent Order to the Department.
- 3. Respondent's license shall be placed on probation for a period of six (6) months under the following terms and conditions
 - a. Not less than twenty-four (24) hours prior to commencement of any installation, modification, extraction, and/or repair for which he is responsible, respondent shall provide to the Department, a copy of the work permit notice.
 - b. Every local Director of Health in such districts in which respondent is conducting business within the probation period shall be provided with a copy of this Consent Order within fifteen (15) days of its effective date. Such local Director(s) of Health shall provide written confirmation to the Department acknowledging receipt and review of the Consent Order.

- c. Respondent has volunteered to repair the system in accordance with proposed repair plan, submitted on June 5, 1998, with the Quinnipiack Valley Health District. The Department shall not pursue any further disciplinary action against respondent's license that involves either the underlying complaint concerning the system or the above proposed repairs in the event that the septic discharge system fails, after the above repairs are preformed, provided that (1) Quinnipiack Valley Health District issues a certificate of completion for said repair/work which shall, for purposes of this decree and all other purposes concerning the system, establish that the repairs were done in compliance with the respondent's proposed plan of repair, submitted on June 5, 1998, and all applicable State Public Health Code Standards, and (2) such repair/work is performed on or before November 13, 1998, weather permitting and subject to cooperation of the homeowner.
- All correspondence and reports are to be addressed to:

Arthur J. Castellazzo, Sanitary Engineer III
Department of Public Health
410 Capitol Avenue, MS #51SEW
P.O. Box 340308
Hartford, CT 06134-0308

- Respondent shall comply with all state and federal statutes and regulations applicable to his licensure.
- 6. Respondent shall pay all his costs necessary to comply with this Consent Order.
- 7. Any alleged violation of any provision of this Consent Order may result in the following procedures at the discretion of the Department:

- a. The Department shall notify respondent in writing by first-class mail that the term(s) of this Consent Order have been violated, provided that no prior written consent for deviation from said term(s) has been granted.
- Said notification shall include the acts or omission(s) which violate the term(s) of this Consent Order.
- c. Respondent shall be allowed fifteen (15) days from the date of the mailing of notification required in paragraph 7a above to demonstrate to the satisfaction of the Department that he has complied with the terms of this Consent Order or, in the alternative, that he has cured the violation in question.
- d. If respondent does not demonstrate compliance or cure the violation by the limited fifteen (15) day date certain contained in the notification of violation to the satisfaction of the Department, he shall be entitled to a hearing before the Department which shall make a final determination of the disciplinary action to be taken.
- e. Evidence presented to the Department by either the Department or respondent in any such hearing shall be limited to the alleged violation(s) of the term(s) of this Consent Order.
- 8. In the event respondent violates any term of this Consent Order, respondent agrees immediately to refrain from practicing as a subsurface sewage disposal system installer, upon request by the Department, for a period not to exceed 45 days. During that time period, respondent further agrees to cooperate with the Department in its investigation of the violation. Respondent further agrees that failure to cooperate with the Department in its investigation during said 45 day period shall constitute grounds for the Department to seek a summary suspension of respondent's license. In any such summary action,

respondent stipulates that his failure to cooperate with the Department's investigation shall constitute an admission that his conduct constitutes a clear and immediate danger as required pursuant to the General Statutes of Connecticut, sections 4-182(c) and 19a-17(c).

- In the event respondent violates any term of this Consent Order, said violation may also
 constitute grounds for the Department to seek a summary suspension of his license before
 the Department.
- 10. Legal notice shall be sufficient if sent to respondent's last known address of record reported to the Licensure and Registration Section of the Division of Health Systems Regulation of the Department.
- 11. This Consent Order is effective on the date this Consent Order is accepted and ordered by the Department.
- 12. Respondent agrees that this Consent Order shall be deemed a public document, and the Department's allegations as contained in this Consent Order shall be deemed true in any subsequent proceeding before the Department in which his compliance with this Consent Order or with §20-341f of the General Statutes of Connecticut, as amended, is at issue.
- 13. Any extension of time or grace period for reporting granted by the Department shall not be a waiver or preclude the Department from taking action at a later time. The Department shall not be required to grant future extensions of time or grace periods.
- 14. This Consent Order and terms set forth herein are not subject to reconsideration, collateral attack or judicial review under any form or in any forum. Further, this Order is not subject to appeal or review under the provisions of Chapters 54 or 368a of the General Statutes of Connecticut, provided that this stipulation shall not deprive respondent of any rights that he may have under the laws of the State of Connecticut or of the United States.

- 15. This Consent Order is a revocable offer of settlement which may be modified by mutual agreement or withdrawn by the Department at any time prior to its being executed by the last signatory.
- 16. Respondent permits a representative of the Legal Office of the Bureau of Regulatory

 Services to present this Consent Order and the factual basis for this Consent Order to the

 Department. Respondent understands that the Department has complete and final

 discretion as to whether this executed Consent Order is approved or accepted.
- 17. Respondent understands and agrees that he is responsible for satisfying all of the terms of this Consent Order during vacations and other periods in which he is away from his residence.
- 18. Respondent has the right to consult with an attorney prior to signing this document.

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I, Mark Richard, have read the above Consent Order, and I stipulate and agree to the terms as set
forth therein. I further declare the execution of this Consent Order to be my free act and deed.
Mark Richard, S.S.I.
Subscribed and sworn to before me this day of day of 1998.
Limb O. Carella
Notary Public or person authorized
by law to administer an oath or affirmation
MY COMMISSION EXPIRES FEB 28, 2003
The above Consent Order having been presented to the duly appointed agent of the
Commissioner of the Department of Public Health on the day of
CLIVER 1998, it is hereby accepted.
Thomas Furgalack, Director Division of Environmental Health
and the second to the duly appointed agent of the
The above Consent Order having been presented to the duly appointed agent of the
Commissioner on the 26th day of October 1998, it is hereby ordered
and accepted.
Commissioner or his designee Hearing Officer Office of Adjudications Department of Public Health